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## U.S. Supreme Court

**LEEDS & CATLIN CO. v. VICTOR TALKING MACH CO, 213 U.S. 301 (1909)****213 U.S. 301****LEEDS & CATLIN COMPANY, Petitioner,****v.****VICTOR TALKING MACHINE COMPANY and United States Gramophone Company.****No. 80.****Argued January 15, 18, 1909.****Decided April 19, 1909.**

[213 U.S. 301, 302] This case is here on certiorari to an interlocutory decree of injunction restraining the petitioner, Leeds & Catlin Company, from manufacturing, using, or selling sound-reproducing apparatus or devices embodied in claim No. 35 of letters patent No. 534,543, issued to Emil Berliner, bearing date 19th of February, 1895, and also from manufacturing, using, or selling or in any way disposing of apparatus or devices which embody the method specified in claim No. 5 of the same patent. These claims will be given hereafter.

The bill is in the usual form and alleges the issuing of the patent and the existence of the necessary conditions thereof [213 U.S. 301, 303] under the laws of the United States. It also alleges the transfer of title to the plaintiffs in the suit and the infringement of claims 5, 32, and 35 by the defendant, petitioner herein.

Petitioner answered, denying some of the allegations of the bill, and, of others, denying that it had knowledge or information sufficient to form a belief. Explicitly denied infringement, and alleged anticipation of the invention described in the patent by a great number of patents and publications in this country and other countries, an enumeration of which was made. And hence it is alleged that, in

view of the state of the art, Berliner was not the first inventor or discoverer of any material or substantial part of the alleged improvement and invention described or claimed.

The answer further alleged that said letters patent did not describe or specify or claim any subject-matter patentable under the statutes of the United States, and are and always have been null and void. Abandonment is alleged and a two-years' use of the invention in this country before the application for the patent, that the invention and improvement were known and used by others, and were in public use and on sale in this country by divers persons, a list of whose names is given.

It is alleged that before the invention was patented in the United States the same was patented, or caused to be patented, by Emil Berliner, in foreign countries, and that by reason whereof, under 4887 of the Revised Statutes of the United States (U. S. Comp. Stat. 1901, p. 3382), the letters patent in suit were limited to expire at the same time with said foreign patents and each of them. The numbers and dates of the foreign patents are given, two in Great Britain, three in France, three in Germany, and one in Canada. They will be specifically referred to hereafter. And it is alleged that, in consequence thereof, the said letters patent of the United States have long since expired, and plaintiff is not entitled to any relief by injunction or other relief in equity, that a court of equity has no jurisdiction of the suit, and that plaintiff has an adequate remedy at law. A replication was filed to the answer. [213 U.S. 301, 304] Upon the bill and certain supporting affidavits an order to show cause against a preliminary injunction was issued, which, coming on to be heard upon such affidavits, and other affidavits and exhibits, a preliminary injunction was granted. 146 Fed. 534. It was affirmed by the circuit court of appeals. 79 C. C. A. 536, 148 Fed. 1022

Mr. Justice McKenna delivered the opinion of the court:

Mr. Louis, Hicks for petitioner.

[213 U.S. 301, 308] Mr. Horace Pettit for respondents.

Statement by Mr. Justice McKenna:

[213 U.S. 301, 310] The motion for preliminary injunction was made upon affidavits. Those of respondent (complainant in the circuit court) described the invention and the machine made in accordance therewith, averred the practical identity of petitioner's machine therewith, and set forth the record in the case of Victor Talking Mach. Co. v. American Graphophone Co., instituted in the circuit court for the southern district of New York. The affidavits averred that the suit was pending and awaiting decision when this suit was brought, and was subsequently decided; that by the decision, claims 5 and 35 of the patent in suit were held valid and infringed by the talking machine of the defendants, and that an injunction was ordered. 140 Fed. 860. And it was stated that the circuit court of appeals, though not concurring with the circuit court in all of its reasoning, affirmed the decree. [76 C. C. A. 180, 145 Fed. 350.]

The affidavits of petitioner (the defendant in the courts below) set forth the defenses which were made in the case just referred to, a summary of the proofs introduced to sustain the defense, and submitted new matter. The affidavits also contained a description of the patent in suit and what was considered to be its basic invention; averred its identity with certain foreign patents which were not in evidence in the other suit. The affidavits also undertook to meet and refute the charge of infringement. The affidavits were very long and circumstantial, and had attached to them copies of the foreign and domestic patents relied on, translations of foreign laws, copies of publications, and certain testimony. Such parts of these exhibits as we deem relevant will be referred to hereafter.



Upon this body of proof, formidable even in its quantity, and having no other elucidation than the arguments of counsel and [213 U.S. 301, 311] some mechanical exhibits, presenting grave questions of fact, we are asked by petitioner to go beyond the action of the lower courts, and not only reverse them as to a preliminary injunction, but decide the case. If we should yield to this invocation and attempt a final decision, it would be difficult to say whether it would be more unjust to petitioner or to respondent.

The circuit court felt a like embarrassment, as well be observed from its opinion. The court did not pass on the defense of infringement, and said that, except as to one patent, the petitioner had failed to introduce any new matter which would have led the courts in the other case, if such matter had been before them, to have reached a different conclusion. And, speaking of the patents referred to, the circuit judge said: 'But even if I am mistaken in this view, and if the expiration of the Suess Canadian patent is a complete defense, or if a decision of the questions raised as to the character and scope of the various patents now introduced for the first time should be postponed until final hearing, yet I am constrained to grant the injunction in order to permit an appeal and a determination of the questions at the earliest possible moment.'

And the lower courts also reserved to the merits the consideration of the defense that claims 5 and 35 were invalid because they were the functions of machines, resting those defenses, so far as the preliminary injunction was concerned, upon the adjudication in the prior suit. We shall do the same, remarking, however, that the contention, if it has any strength as to claim 5, seems to us untenable as to claim 35. We think the latter is a valid combination, consisting of the elements, (1) a traveling tablet having a sound record formed thereon; (2) a reproducing stylus, shaped for engagement with the record, and free to be vibrated and propelled by it. It is, therefore, a true mechanical device, producing by the co-operation of its constituents the result specified and in the manner specified.

In passing on the other foreign patents the circuit court considered that the prior adjudications fortified the presumption of the validity of the patent in suit, and established its scope, [213 U.S. 301, 312] and that the new matter introduced by petitioner did not repel the presumption or limit the extent of the patent. That the lower courts properly regarded the prior adjudications as a ground of preliminary injunction is established by the cases cited in Walker on Patents, 665 et seq. See also Robinson on Patents, 117 et seq. And in that aspect the question must be considered, and, so considering it, we may pass the defenses of anticipation, whether complete or partial, and the defense of infringement. These are, we have already said, questions of fact which we are not inclined to pass upon unaided by the judgments of the lower courts, made after a hearing on the merits.

The patent in suit and the patents which, it is contended, anticipate it or limit its extent or duration, are for methods or devices whereby sound undulations trace or inscribe themselves upon a solid material, and are by suitable devices made to reproduce themselves and the sounds which made them. One of the questions in the case is, as we have seen, the relation of the patent in suit to the prior art. It is contended by the respondent that Berliner (he was the patentee of the patent in suit), improved the prior art, not only in the methods of recording and reproducing sounds, but in the devices by which the methods are accomplished.

In the old method the sound record was produced by vertical vibrations, either indenting a pliable material, by and in accordance with the sound waves along a helical or spiral line, as in Edison patents, or by like vibrations engraving a suitable material, by and in accordance with the sound waves, as in the Bell and Tainter patent. By both of these methods there was produced a record consisting of a groove of varying depth, that is, containing elevations and depressions corresponding to the sound waves which

produced them. In the Berliner patents the vibrations are made to inscribe a laterally undulating line in the general direction of a spiral. The line, therefore, is of even depth, the inequalities or sinuosities produced by the sound waves being upon its sides. By this method there is pro- [213 U.S. 301, 313] duced a sound record tablet, consisting of a flat disc of hard, resisting material, having in its surface inscribed a spiral groove of practically even depth, but undulating laterally in accordance with the sound waves. The patent in suit describes and specifies the ways of making such record tablet, as do the prior patents the sound records of the respective patentees. Further description of the records, however, is not necessary, as we shall have with them but incidental concern.

The records being made, the next step is the reproduction of the sounds which they record. This is done by adjusting to the line or groove inscribed upon the records a point or stylus attached to a diaphragm, which, being vibrated by the indentations or sinuosities of the groove, reproduces the sounds that made them. In the prior art the reproducing stylus and sound record were brought in operating relation to each other in two ways. The sound record was mechanically conveyed across the reproducing stylus, or the reproducer and its stylus were mechanically conveyed across the record. By one or the other of these means the stylus was kept in engagement with the record and accommodated to the shifting positions of its operative portions. In the patent in suit such independent means are dispensed with. The stylus is made to engage with the grooves in the record tablet, is vibrated laterally by its undulations, and guided or propelled at the same time with its diaphragm attachment across the face of the tablet, the successive portions of the groove reproducing the sound waves, which are transmitted to the air. The sound records are made of hard, indestructible material, and, as stated in one of respondent's affidavits, the groove impressed therein 'serves the two- fold purpose of vibrating the stylus and producing the necessary vibrations in the diaphragm of the sound box, and also to automatically propelling the stylus in the groove across the surface of the record without a feed screw or other mechanism independent of the record itself.' The method of doing that is the subject-matter of claim 5, and the means of performing the method is the subject-matter of claim 35. They are, respectively, as follows: 'No. 5, the method [213 U.S. 301, 314] of reproducing sounds from a record of the same, which consists in vibrating a stylus and propelling the same along the record, substantially as described. No. 35 is a sound-producing apparatus, consisting of a traveling tablet having a sound record formed thereon and a reproducing stylus shaped for engagement with said record, and free to be vibrated and propelled by the same, substantially as described.'

We may now understandingly consider the new matter which was relied on in the courts below. The first in importance of these is that the patent in suit is for the same invention of certain foreign patents, and expired with them. These patents consist of three French patents to Emil Berliner, respectively dated November 8, 1887, May 15, 1888, and July 19, 1890; German patents to Berliner dated November 8, 1887, May 16, 1888, and November 20, 1889; a Canadian patent of February 11, 1893, assigned by W. Suess to Berliner; English patents of November 8, 1887, and May 15, 1888. These patents are presented in an affidavit by the leading counsel for petitioner, accompanied by such comparisons of them with the patent in suit as established, it is contended, the identity of their inventions with its invention, and made applicable and controlling 4887 of the Revised Statutes, which is as follows:

'Sec. 4887. No person shall be debarred from receiving a patent for his invention or discovery, nor shall any patent be declared invalid, by reason of its having been first patented or caused to be patented in a foreign country, unless the same has been introduced into public use in the United States for more than two years prior to the application. But every patent granted for an invention which has been previously patented in a foreign country shall be so limited as to expire at the same time with the foreign patent, or, if there be more than one, at the same time with the one having the shortest term, and in no case shall it be in force more than seventeen years.'



The affidavits describe not only the reproducer of the patent in suit, but also the recorded, and give details to the construction of both, and petitioner, in its briefs, elaborately traces [213 U.S. 301, 315] the development of Berliner's ideas in comparison with the prior art through three stages, each of which, it is contended, 'is represented by domestic and foreign patents, obtained or applied for, respectively, in 1887, 1888, and 1889-1892.' Each stage, it is insisted, is claimed as an improvement upon the preceding stage, and all of them are but improvements upon the prior art. Berliner did not employ, it is said, any new principles in the reproduction of sound from a sound record, 'the difference in the sound-reproducing machines employed by him and those of the prior art consisting of modifications of details of construction.' And it is further contended that an analysis of the patent in suit demonstrates 'that the improvements described and claimed related, first, to the recording of sound; and, second, to the reproducing of sound.' It is impossible, counsel say, 'to seriously contend that the essence of the improvements consist rather in the reproduction of sound than in the recording of sound.' It is nevertheless argued that the lower courts so regarded the patent in suit, and by that error adjudged that the foreign patents did not embody Berliner's invention, and that, therefore, the patent in suit did not expire with them. Indeed, it is urged that, 'in the face' of the 'expressed and positive declaration of the patentee as to what are the features of his invention, the courts below not only held that the patent included other features not enumerated by Berliner, but went even further, and held that the features which Berliner did enumerate as the features of his invention are not the principal features of his invention, but are mere minor details.' This is a misapprehension of the view of the courts below. They confine themselves, as it was proper to do, to the claims in suit and to the invention exhibited in them, and, in considering the relation of the patent in suit with foreign patents, they distinguished between what the circuit court denominates the 'broad and basic invention' covered by those claims and the 'minor part' shown in the foreign patents. Petitioner attempts to make the recording and reproduction of sounds essential parts of one invention, of which the claims are [213 U.S. 301, 316] but parts. The purpose is to identify the invention of the patent with every one of the foreign patents, and bring the case under what is conceived to be the doctrine of *Siemen v. Sellers* (*Guarantee Ins. Trust & S. D. Co. v. Sellers*) 123 U.S. 276, 31 L. ed. 153, 8 Sup. Ct. Rep. 117.

That case, it is contended, precludes a distinction between the claims of a patent into basic and not basic, principal or subordinate, and establishes that all the claims of a home patent must be so limited as to expire with the expiration of a foreign patent, or, if there be more than one prior foreign patent, with the expiration of the one having the shortest term. Upon the expiration of a patent, it is argued, all of its claims expire, since, as this court said in *Siemen v. Sellers*, as it is contended, a patent cannot be considered as running partly to one date and partly to another, for this would be productive of endless confusion. In other words, a patent cannot expire in parcels, it cannot have a plurality of terms. Therefore it is contended that it is the patent, and not the separate claims thereof, which are by the statute limited to expire with the foreign patent. *Siemen v. Sellers* is cited for this doctrine, as we have said, and also the following cases: *Western Electric Co. v. Citizens' Teleph. Co.* 106 Fed. 215; *Sawyer Spindle Co. v. Carpenter*, 133 Fed. 238, affirmed in 75 C. C. A. 162, 143 Fed. 976; *Thomson-Houston Electric Co. v. McLean*, 82 C. C. A. 629, 153 Fed. 883.

*Siemen v. Sellers* is especially relied upon, and whatever there is in the other cases that support the contention of petitioner is based on that case. In *Siemen v. Sellers* the patent passed upon was for an improved regenerator furnace, so called, and the question presented was whether it was identical with that described in an expired English patent. The court said:

'We have carefully compared the two patents, the English and American, and can see no essential difference between them. They describe the same furnace in all essential particulars. The English specification is more detailed, and the drawings are more minute and full; but the same thing is



described in both. There is only one claim in the English patent, it is true. But that claim, under the English patent system, entitled the [213 U.S. 301, 317] patentees to their entire invention, and is at least as broad and comprehensive as all four claims in the American patent.'

It will be observed, therefore, that there was no distinction in the subject-matter of the claims. There was a difference in the number of the claims, arising from the difference in the patent systems, but the claims were co-extensive in substance and in invention. There was no question, therefore, of a difference in claims covering different inventions, but such contingency, it is contended, is embraced in the following passage:

'It is contended by the counsel of the complainants that the American patent contains improvements which are not exhibited in the English patent. But, if this were so, it would not help the complainants. The principal invention is in both; and if the American patent contains additional improvements, this fact cannot save the patent from the operation of the law which is invoked, if it is subject to that law at all. A patent cannot be exempt from the operation of the law by adding some new improvements to the invention; and cannot be construed as running partly from one date and partly from another. This would be productive of endless confusion.'

This passage must be construed by what precedes it. It was said that there was no essential difference between the patents. 'They described the same functions in all essential particulars,' is the language used. 'The principal invention,' therefore, was 'the same in both,' and the improvements, which it was asserted the American patent contained, did not destroy its essence or its identity with the English patent; necessarily, therefore, did not save it 'from the operation of the law.' And the court meant no more than that. It was not said that a patentable improvement could not be made which could be secured by a patent which would endure beyond the expiration of a prior foreign patent for that which was improved. Such a ruling would contravene the right given by the statute. Section 4886 (U. S. Comp. Stat, 1901, p. 3382) provides that 'any person who has invented or discovered any new and useful art, machine, manufacture, or composition of matter, or any new and useful [213 U.S. 301, 318] improvement thereof, . . . may . . . obtain a patent therefor.' The improvement would be the invention and would endure for the period given to it by law. Besides, a patent may embrace more than one invention. *United States ex rel. Steinmetz v. Allen*, 192 U.S. 543, 48 L. ed. 555, 24 Sup. Ct. Rep. 416. A process and an apparatus by which it is performed are distinct things. They may be found in one patent; they may be made the subject of different patents. So may other dependent and related inventions. If patented separately, a foreign patent for either would not affect the other. Why would such effect follow if they are embraced in the same patent? What policy of the law would be subserved by it? The purpose of 4887 of the Revised Statutes is very clear. It is that, whenever an invention is made free to the public of a foreign country, it shall be free in this. The statute has no other purpose. It is not intended to confound rights, and to make one invention free because another is made so. This will even more distinctly appear in case of a patent for a combination, such as claim 35 is of the patent in suit.

A combination is a union of elements, which may be partly old and partly new, or wholly old or wholly new. But, whether new or old, the combination is a means-an invention-distinct from them. They, if new, may be inventions and the proper subjects of patents, or they may be covered by claims in the same patent with the combination.

But whether put in the same patent with the combination or made the subjects of separate patents, they are not identical with the combination. To become that they must be united under the same co-operative law. Certainly, one element is not the combination, nor, in any proper sense, can it be regarded as a substantive part of the invention represented by the combination, and it can make no difference whether



the element was always free or becomes free by the expiration of a prior patent, foreign or domestic. In making a combination, an inventor has the whole field of mechanics to draw from. This view is in accordance with the principles of the patent laws. It is in accordance with the policy of 4887 of the Revised [213 U.S. 301, 319] Statutes, which is urged against it. That policy is, as we have seen, that an American patent is not precluded by a foreign patent for the same invention, but, if a foreign patent be granted, an American patent is granted upon the condition that the 'invention shall be free to the American people whenever, by reason of the expiration of the foreign patent, it becomes free to the people abroad.' *Bate Refrigerating Co. v. Sulzberger*, 157 U.S. 1, 36, 39 S. L. ed. 601, 611, 15 Sup. Ct. Rep. 508. And all of the provisions of the statutes are accommodated. Each invention is given the full period of seventeen years, which the statute prescribes for it. If limited at all, it can only be by a prior foreign patent identical with it. Nor can confusion result. Why should it? It does not result from analogous applications of the patent laws. Claims are independent inventions. One may be infringed, others not, and the redress of the patentee is limited to the injury he suffers, not by the abstract rights which have been granted him in other claims. One claim may be valid, all the rest invalid, -invalid for the want of some essential patentable attribute. But what is good remains and is unaffected by its illegal associates. In such cases the patent does not stand or fall as a unity. If claims may be separable, as in the case of infringement of some and not of others, -if claims can be separable, though some are invalid, -may they not be separable when some of them have expired? Certainly confusion cannot arise in one case more than in the other. Confusion might result in such circumstances as were presented in *Siemen v. Sellers*, where it was sought to extend the principal invention - indeed the only invention - by the date of a mere formal improvement of it. In such case, as

Sec. 4884. Every patent shall contain a short title or description of the invention or discovery, correct ly indicating its nature and design, and a grant to the patentee, his heirs or assigns, for the term of seventeen years, of the exclusive right to make, use, and vend the invention or discovery throughout the United States, and the territories thereof, referring to the specification for the particulars thereof. A copy of the specification and drawings shall be annexed to the patent and be a part thereof. [213 U.S. 301, 320] it was said, the patent 'cannot be construed as running partly from one date and partly from another.'

In the light of these principles, let us examine the foreign patents relied upon. Special stress is given to German patent No. 53,622 to Berliner, and it is contended that it expired before this suit was brought, and that the patent in suit expired with it. The patent refers to two others in which, it is said, there is described an apparatus for that the patent in suit expired with it. The invention [that covered by the patent] relates to the instrument in the part of the apparatus performing the reproduction.' The instrument is exhibited by a drawing and is specifically described. Petitioner says that that instrument covered the most important part of the Berliner gramophone, and that Berliner, in his Franklin Institute lecture, specifically stated that, of the three principal features of the improvement of the patent in suit, the reproducer formed one. But granting that he did say so, and that it is so, the inquiry yet remains, Is it identical with the invention of claim 5 or claim 35 of the patent in suit? It is not of claim 5, for that is for a method, and a method is independent of the instruments employed to perform it. It is not of claim 35, for that claim is for a combination, and one element is not the combination. Indeed, all of the elements are not. To be that, -to be identical with the invention of the combination, -they must be united by the same operative law. Of course, an element is a part, an essential part, of the combination, and enters as an operative agent in the performance of its functions. But this does not make it identical with the combination. It may be novel, patentable of itself, subject to its own special monopoly, or it may be free for everybody's use; but, whether free or not free, free when the combination was formed (invented) or became free, it is not identical with the combination. It follows, therefore, that the expiration of the German patent No. 53,622 for the reproducer did not affect the duration of the patent in suit so far as claims 5 and 35 are concerned, even though such reproducer is made the subject of one



of the claims of the patent in suit. To some [213 U.S. 301, 321] extent these remarks are applicable to all the foreign patents relied on by petitioner.

In the French patent No. 207,090, granted to Berliner, the claims cover a recorder as well as a reproducer of sound. They are practically the same instrument, and are denominated respectively in the patent as a recording sound box and as a reproducing sound box. As the first, to quote the patent, it is used to 'trace acoustic curves upon the it is used to 'trace acoustic curves upon the that is, as a reproducer, it reproduces the sounds which made the 'acoustic curves.'

It is contended by respondent that the recorder and reproducer of the patent in suit differ in certain details of construction and operation from the recorder and reproducer of the German and French patents, but the circuit court said that that question could only be determined by expert testimony, and assumed the details to be substantially identical. We shall do the same, and are of the opinion, for the reasons which we have given, that the expiration of those patents, the French patent as well as the German patent, did not carry with them the expiration of the inventions exhibited in claims 5 and 35 of the patent in suit.

It is further contended that the patent in suit expired with the British, French, and German patents of November 8, 1887, to Berliner. These patents, it is contended, are for the 'basic invention' covered by claims 5 and 35 of the patent in suit. The patents are identical, and therefore we consider only the British patent. The reasoning by which this is attempted to be supported is somewhat circuitous. Among the publications referred to in petitioner's answer and introduced in evidence was one in the Electrical World for November 12, 1887, one published in the same paper, August 18, 1888, and a paper read by Berliner before the Franklin Institute, May 16, 1888. In these publications there is description of the invention, and, in the paper read before the Franklin Institute, Berliner describes the genesis of his ideas and the ideas of others in the process of recording and reproducing sounds. He entered into a some- [213 U.S. 301, 322] what detailed description of his invention, exhibited a machine and gave an illustration of its powers, among others letting the audience 'listen to some phonautograms,' which he said he had prepared within two weeks before in Washington. This was urged as a public use, but the circuit court decided that neither that lecture and exhibition nor the description in the Electrical World in 1887, constituted a public use within the meaning of the statutes. And the court also decided that the broad claims of the patent in suit were not made a part of the earlier application for patent No. 564,586, and that that omission, even when combined with such exhibition and publication, was not an abandonment and forfeiture of those claims. The circuit court of appeals did not discuss those questions or express an opinion upon them, but decided that the specifications in the application for patent No. 564,586, issued subsequently to the patent in suit, were broad enough to warrant the making of the claims in controversy ( 5 and 35) and that the second application could fairly be considered a continuation of the first, and antedated the alleged public use. If this be so, petitioner contends, the two patents must be treated as one patent, covering one invention, that described in No. 564,586, and, it is further contended, that as that invention was previously patented by the three foreign patents, the patent in suit expired with them. The reasoning is extremely technical, and we may adopt the answer made to it by the circuit court: 'An examination of the drawings of the prior British patent shows that there is omitted therefrom the figure 10 of the United States patent No. 564,586, which was the only figure illustrating the form of the device covered by the claims here in suit. There is nothing either in the specifications or drawings of the said British patent which describes, illustrates, or shows the method or apparatus of the claims here in suit. These considerations apply equally to said earlier German and French patents.' patent No. 564,586, which was the only 1887 to hold that it covers what is omitted from a foreign patent as well as what is included in such patent. At any rate, whatever was the rul- [213 U.S. 301, 323] ing in the prior suit, in the suit at bar the circuit court and the circuit court of appeals both held that the inventions of claims 5 and 35 of the patent in suit were not exhibited in the



British patent, and that is so far a question of fact, pertains so much to evidence rather than to a construction of the patents, that we may well remit it, as we have other questions of the kind, to the merits of the case.

There yet remains the Suess Canadian patent to be considered. It was granted to Berliner as the assignee of Suess, and Judge Townsend, in the circuit court, said that the patent disclosed and broadly claimed the invention covered by the claims in suit, and, on account of it, defendant (petitioner here) contended that Berliner thereby admitted that Suess was the inventor of the reproducing apparatus of those claims; that in his application as the assignee of Suess he abandoned the broad claim in suit, and that, as the patent covered the invention of the patent in suit, and expired in 1899, the patent in suit expired with it. The learned judge further said:

'The evidence introduced in the original suit showed and the court found on the Suess patent 427,279, that Suess was merely an improver of a particular form of swinging arm device, and some of the language used in the specifications of this Suess Canadian patent, which, however, was not before the court in the original suit, seems to indicate that its structure is merely an improvement on the broad Berliner invention, and Berliner himself afterwards applied for and obtained a Canadian patent for the broad invention covered by the claims here in suit.'

The court, however, decided that the Canadian patent in terms described and claimed 'the broad, generic invention of Berliner covered by the claims here in suit,' and, to establish this, quoted claims 5, 7, and 11 of the Canadian patent, and concluded that, if that patent expired in 1899, the patent in suit also expired. The court, however, decided, expressing, how-

5. In an apparatus for reproducing sounds from reproducing sounds from a record tablet, the combination with reproducer mechanism consisting of a sound-conveying tube and a diaphragm and stylus mounted at one end of the tube; of a freely swinging supporting frame for the said producer mechanism, substantially as described.

7. In an apparatus for reproducing sounds from a record tablet, the combination with a reproducer mechanism consisting of a sound conveyer, and a diaphragm and stylus mounted at one end thereof; of a supporting frame for the said reproducer, loosely pivoted to swing freely both laterally and vertically, substantially as described.

11. In an apparatus for reproducing sounds from a rotating record tablet, a reproducing stylus mounted to have a free movement over the surface of the record tablet, substantially as described. [213 U.S. 301, 324] ever, some hesitation, that the patent did not then expire, stating the rule to be, as established by the cases, that a United States patent is limited by the terms expressed in the foreign patent, and that it is not affected by any lapse or forfeiture of any portion of the term by means of any condition subsequent.

The patent was granted for the term of eighteen years from its date, February 11, 1893, but provides as follows:

'The partial fee required for the term of six years having been paid to the Commissioner of Patents, this patent shall cease at the end of six years from date, unless at or before the expiration of the said term the holder thereof pay the fee required for the further term or terms, as provided by law.'

And it appears that the fee for the second term of six years was not paid, and that because of such



nonpayment the patent expired February 11, 1899. The contention of petitioner is, as has been seen, that the patent in suit expired at the same date by virtue of 4887, Revised Statutes. The necessary effect of that section, it is contended by petitioner, being that if, by any act of omission of the patentee, the invention becomes free in a foreign country, it becomes free in this country. The contention of the respondent is that the domestic patent endures for the longest possible term of the foreign patent. In other words, endures for the period expressed in the grant, and is not dependent upon or 'subject to be terminated by the occurrence or nonconcurrence by certain facts which would require extraneous proof.' These opposing contentions are discussed at great length by counsel and a number of cases are cited. [213 U.S. 301, 325] We omit, however, the consideration of the cases and comment upon the arguments based upon them, as we think the questions involved are determined by *Pohl v. Anchor Brewing Co.* 134 U.S. 381, 33 L. ed. 953, 10 Sup. Ct. Rep. 577. It is there decided that 'the statute manifestly assumes that the patent previously granted in a foreign country is one granted for a definite term; and its meaning is that the United States patent shall be so limited as to expire at the same time with such term of the foreign patent.' And it is further said that the duration of the United States patent is not 'limited by any lapsing or forfeiture of any portion of the term of such foreign patent by means of the operation of a condition subsequent, according to the foreign patent.'

From these views it follows that there was no abuse of discretion in granting the preliminary injunction, and the decree is affirmed.

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## U.S. Supreme Court

**LEEDS & CATLIN CO. v. VICTOR TALKING MACH CO, 213 U.S. 325 (1909)****213 U.S. 325****LEEDS & CATLIN COMPANY, Petitioner,****v.****VICTOR TALKING MACHINE COMPANY and United States Gramophone Company.****[No. 81.]****Argued January 18, 1909.****Decided April 19, 1909.**

[213 U.S. 325, 326] Mr. Louis Hicks for petitioner.

[213 U.S. 325, 329] Mr. Horace Pettit for respondents.

Mr. Justice McKenna delivered the opinion of the court:

This writ was issued to bring up for review the judgment of the circuit court, affirmed by the circuit court of appeals, adjudging petitioner guilty of contempt of court for violating the injunction which has just been considered in No. 80 [ 213 U.S. 301, 53 L. ed. \_\_\_, 29 Sup. Ct. Rep. 495], and to pay a fine of \$1,000, one half to the United States and one half to complainants in the suit, respondents here.

The injunction, as we said in the opinion in No. 80, enjoined petitioner, the Leeds & Catlin Company, from manufacturing, using, or selling the method expressed in claim 5 of letters patent No. 534,543 to Emil Berliner, dated February 19, 1895, or the apparatus covered by claim 35.

On the 15th of November, 1906, respondent Victor Talking Machine Company filed a petition in the



circuit court, charging petitioner with a violation of such injunction. A rule was issued against the Leeds & Catlin Company to show cause why an attachment should not issue against it for contempt of court for violating the injunction, which came on to be heard upon supporting and opposing affidavits and the answer of the Leeds & Catlin Company. [213 U.S. 325, 330] A judgment was entered adjudging the Leeds & Catlin Company guilty of contempt, which was affirmed by the circuit court of appeals. 150 Fed. 147, 83 C.C.A. 170, 154 Fed. 58.

The answer of petitioner referred to the record in No. 80, and in this court it is stipulated that the record shall be used as part of the record in the pending cases, and certain of the defenses there made are repeated here. For instance, it is contended, and the record in No. 80 is adduced to support the contention, that (1) the patent in suit having expired before the suit was begun, the circuit court was without jurisdiction to entertain the suit; (2) claims in suit being for the functions of a machine are void. And it is further contended that "hence the judgment finding defendant [petitioner] in contempt (a) was void because beyond the jurisdiction of the court; and (b) should be set aside because, the claims being void, the injunction was improperly granted." These contentions are disposed of by the opinion in No. 80, and we may confine our discussions to the other defenses made in the contempt proceedings.

The facts are practically undisputed, and a detail of them is unnecessary. It is enough to say that petitioner is a manufacturer of disc records, such as are described in No. 80. That is, a record upon which is inscribed a lateral undulating groove of even depth, which, when the disc is revolved, compels the reproducing stylus to be vibrated and propelled across its face.

It will be observed how important the record is to the invention embodied in the claims. It is the undulations in the side walls of the spiral groove which vibrate the stylus back and froth, transmitting the recorded sound waves to the diaphragm, at the same time propelling the stylus as it engaged with the record. It a comparison may be made between the importance of the elements, as high a degree (if not a higher degree) must be awarded to the disc with its lateral undulations as to the stylus. It is the disc that serves to distinguish the invention,-to mark the advance upon the prior art. "As to the reproducing stylus," as is said by respondent, "it is only necessary that it should be shaped for engagement with the record, [213 U.S. 325, 331] and so positioned and supported as to be free to be vibrated and propelled by the record."

The lower courts found that most of the sales (we quote from the opinion of the circuit court of appeals) of the records by petitioner " were knowingly made . . . to enable the owners of Victor talking machines to reproduce such musical pieces as they wished by the combination of the Leeds & Catlin record with said machines; that the Leeds & Catlin Company made no effort to restrict the use to which their records might be put until after motion to punish for contempt had been made; that the only effort at such restriction ever made was to insert upon the face of the record a notice to the effect that such record was intended and sold for use with the 'feed-device machine; that the records sold by plaintiff in error [petitioner] were far more frequently bought to increase the repertoire of the purchaser's Victor machine than to replace wornout or broken records." The "feed-device machine" referred to by the court was a talking machine bought by petitioner after, as petitioner avers, the circuit court of appeals affirmed the injunction, and in connection with which it sold, as it also avers, and used, its sound records. The court assumed for the purpose of the cause, that the feed-device machine might be regarded as not infringing any of the rights of the Victor Company under the Berliner patent. The court further found that it was established by the evidence that the discs were equally suitable for that machine as for the machine of the Victor Company, but that it "was not, at or before the time of beginning this proceeding, a practically or commercially known reproducer of musical or spoken sound, whereas the Victor machine, embodying the claims of the Berliner patent here under



consideration, was at such times widely known and generally used, and that the plaintiff in error [petitioner] knew, and sold its records with the knowledge that, if its output was to be used at all by the public, it would be used with the Victor machine, and in the combination protected by the claims of the Berliner patent, above referred to." And the court concluded that upon these [213 U.S. 325, 332] facts it was clear that petitioner had "made and sold a single element of the claims of the Berliner patent, with the intent that it should be united to the other element and complete the combination; and this is infringement (*Heaton-Peninsular Button-Fastner Co. v. Eureka Specialty Co.* 35 L.R.A. 728, 25 C.C.A. 267, 47 U.S.App. 146, 77 Fed. 297); adopted by this court (*Cortelyou v. Lowe*, 49 C.C.A. 671, 111 Fed. 1005)."

Petitioner contests the conclusion and opposes it by the principle which, it is contended, is established by cases in this court, as well as at circuit, that "the person who has purchased a patented combination from the patentee has the right to replace an unpatented element of the combination, and for such purpose to purchase such element from another than the patentee or his licensee." To bring this principle in clear relief it is said that "the majority of the circuit court of appeals has held that such replacement of a single unpatented element of the combination is reconstruction, and not within the rights of the purchaser of the patented combination from the patentee." And, to complete its argument, petitioner added that where an inventor so arranges the parts of his patented combination that it cannot satisfactorily, successfully, or usefully be continued in use without successive replacements of one of its elements, "the replacement of such element, if unpatented, by the purchaser of the combination from the patentee, is in accordance with the intention of the patentee, and not a reconstruction of the patented combination, but an act within the rights of the purchaser." For these principles *Morgan Envelope Co. v. Albany Perforated Wrapping Paper Co.* 152 U.S. 425, 38 L. ed. 500, 14 Sup.Ct.Rep. 627; *Wilson v. Simpson*, 9 How. 109, 13 L. ed. 66; *Goodyear Shoe Machinery Co. v. Jackson*, 55 L.R.A. 692, 50 C.C.A. 159, 112 Fed. 146, are adduced.

The question in the case, therefore, is single and direct, and its discussion may be brought to a narrow compass. Its solution depends upon the application of some rudimentary principles of patent law.

A combination is a composition of elements, some of which may be old and others new, or all old or all new. It is, however, the combination that is the invention, and is as much a unit in contemplation of law as a single or noncomposite instrument. [213 U.S. 325, 333] Whoever uses it without permission is an infringer of it. It may be well here to get rid of a misleading consideration. It can make no difference as to the infringement or noninfringement of a combination that one of its elements or all of its elements are unpatented. For instance, in the case at bar the issue between the parties would be exactly the same, even if the record disc were a patented article which petitioner had a license to use or to which respondent had no rights independent of his right to its use in the combination. In other words, the fact that the disc sold by petitioner is unpatented does not affect the question involved except to give an appearance of a limitation of the rights of an owner of a Victor machine other than those which attach to him as a purchaser. The question is, What is the relation of the purchaser to the Victor Company? What rights does he derive from it? To use the machine, of course, but it is the concession of the argument of petitioner that he may not reconstruct it. Has he a license to repair deterioration, and when does repair become reconstruction? It would seem that, on principle, when deterioration of an element has reached the point of unfitness, there is a destruction of the combination, and a renewal of the element is a reconstruction of the combination. And it would also seem on principle that there could be no license implied from difference in the durability of the elements or periodicity in their use. This, however, is asserted; and we come to the consideration of the cases upon which the assertion is based, and how far it has application under the facts of this record.

Great stress is put upon *Morgan Envelope Co. v. Albany Perforated Wrapping Paper Co.* supra. That



case was a bill in equity for the infringement of three letters patent, -one for a "package of toilet paper," known as an "oval roll" or "oval king" package; one for a "toilet paper fixture," and one for an "apparatus for holding toilet paper." The first patent was declared invalid for want of novelty. Of the other two it was said that they were practically the same, and were for a "combination of the paper roll de- [213 U.S. 325, 334] scribed in the former patent, with a mechanism for the delivery of the paper to the user in an economical manner." It was conceded that the mechanism of the patents involved patentable novelty, but it was contended that, it being constructed for the purpose of delivering paper to users inconvenient length, such a roll was not a proper part of the combination, and that, conceding it was a part of the combination, there was no infringement. The first contention, the court said, raised the question whether, when a machine is designed to manufacture, distribute, or serve out to users a certain article, the article so dealt with can be said to be a part of the combination of which the machine itself is another part. In commenting on the question the court expressed the view that, if the contention could be sustained, "it would seem to follow that the log which is sawn in the mill, the wheat which is ground by the rollers, the pin which is produced by the patented machine, the paper which is folded and delivered by the printing press," might be claimed as an element of a combination. The court, however, refrained from expressing an opinion upon the point, because it conceived that the facts of the case failed to sustain the charge of infringement. And this on the ground that the defendants in the suit had neither made, sold, nor used the patented mechanism, except as they purchased it from the patentee, and the only acts proven against them were that they sold rolls of paper of their own manufacture with fixtures manufactured and sold by the plaintiff, the fixtures having been obtained by defendants from the original purchasers of the patented combination; and also of selling oval rolls of paper of their own manufacture to persons who had previously purchased fixture and paper from the plaintiff, with the knowledge and information that the paper so sold was to be used in connection with plaintiff's fixtures. The court stated the question to be whether, considering the combination of the oval roll with the fixture to be a valid combination, the sale of one element of such (italic ours) a combination with the intention that it should be used with the other elements was an infringement. The answer was in the [213 U.S. 325, 335] negative. The court, however, stated, that there were cases to the effect that the sale of one element of a combination with intention that it should be used with another was an infringement, but decided that they had no application to one where the element made by the alleged infringer was "an article of manufacture, perishable in its nature which it is the object of the mechanism to deliver, and must be renewed periodically whenever the device is put to use." The case, therefore, is not a precedent for the decision of that at bar. Not one of the determining factors there stated exist in the case at bar. if the operative relation of the paper roll to the mechanism was as illustrated (and the court left no doubt that it was), that is, of the log to the saw in the mill, wheat to the rollers which grind it, pins which are produced by a patent machine, -in other words, in no more operative relation than a machine and its product are, -the invalidity of the combination was hardly questionable. And, besides, it was made a determining circumstance that the paper perished by its use, and a periodical renewal was indicated to be a renewal "whenever the device was put to use." The case has no principle or reasoning applicable to the case at bar. The combination in the case at bar is valid, as we have unhesitatingly declared. The function it performs is the result of the joint action of the disc and the stylus. The disc is not a mere concomitant to the stylus; it coacts with the stylus to produce the result. Indeed, as we have seen, it is the distinction of the invention, constituting, by its laterally undulating line of even depth and the effect thereof, the advance upon the prior art. To confound its active co- operation with the mere passivity of the paper in the mechanism described in the Morgan Envelope Company is not only to confound essential distinctions made by the patent laws, but essential distinctions between entirely different things. Besides, the lower courts found that the discs were not perishable. As said by the court of appeals, by Judge Hough: "Disc records are fragile, i.e., brittle and easily broken; but they are not perishable, i.e., subject to decay by their inherent qualities, or consumed by few uses [213 U.S. 325, 336] or a single use. Neither are they temporary, i.e., not intended to endure ; on the contrary, we find them capable of remaining useful for an indefinite period, and believe that they usually last as long as does



the vogue of the sounds they record."

Can petitioner find justification under the right of repair and replacement as described in *Wilson v. Simpson*, 9 How. 109, 13 L. ed. 66, and *Chaffee v. Boston Belting Co.* 22 How. 217, 16 L. ed. 240? The court of appeals, in passing on these cases, considered that there was no essential difference between the meaning of the words "repair and replacement." That they both meant restoration was recognized in *Wilson v. Simpson*, supra, where it is said that the language of the court in *Wilson v. Rousseau*, 4 How. 709, 11 L. ed. 1169, did not permit the assignee of a patent to make other machines or reconstruct them in gross upon the frame of machines which the assignee had in use, "but it does comprehend and permit the resupply of the effective ultimate tool of the invention, which is liable to be often worn out or to become inoperative for its intended effect, which the inventor contemplated would have to be frequently replaced anew, during the time that the machine as a whole might last." But there is no pretense in the case at bar of mending broken or worn-out records, or of repairing or replacing "the operative ultimate tool of the invention" which has deteriorated by use. The sales of petitioner, as found by the courts below, and as established by the evidence, were not to furnish new records identical with those originally offered by the Victor Company, but, to use the language of Judge Lacombe in the circuit court, "more frequently in order to increase the repertory of tunes than as substituted for worn-out records."

The right of substitution or "resupply" of an element depends upon the same test. The license granted to a purchaser of a patented combination is to preserve its fitness for use so far as it may be affected by wear or breakage. Beyond this there is no license.

It is further contended by petitioner that the disc records, [213 U.S. 325, 337] being unpatented articles of commerce, which could be used upon the mechanical feed-device machine or exported to foreign countries, or concededly for repair of machines sold by respondent, petitioner could legally sell the same. A detailed comment on this contention or of the cases cited to support it we need not make. The facts of the case exclude petitioner from the situation which is the foundation of the contention. The injunction did not forbid the use of the records, except in violation of claims 5 and 35 of respondent's patent. The judgment for contempt was based upon the facts which we have detailed, and they show a sale of the records for use in the Victor machine, "an entirely voluntary and intentional" (to use the language of Judge Lacombe) contributory infringement.

We have seen that the circuit court of appeals assumed, for the purposes of this cause, that the feed-device machine was not an infringement of the machine of the patent. We may assume the same, and we are relieved from reviewing the very long and complex affidavits submitted by the petitioner to explain the same, petitioner's relation to it, or its position in the art of sound reproduction. Petitioner was found guilty of selling records which constituted an element in the combination of the patent in suit, and for that petitioner was punished. Upon whatever questions or contentions may arise from the use of the feed-device machine we reserve opinion.

We have not reviewed or commented on the other cases cited respectively by petitioner and respondents in support of their contentions, deeming those we have considered and the principles we have announced sufficient for our decision.

Judgment affirmed.

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